

WORKBOOK

# Kitces Tax Intensive CE Day

Thursday, October 30, 2025



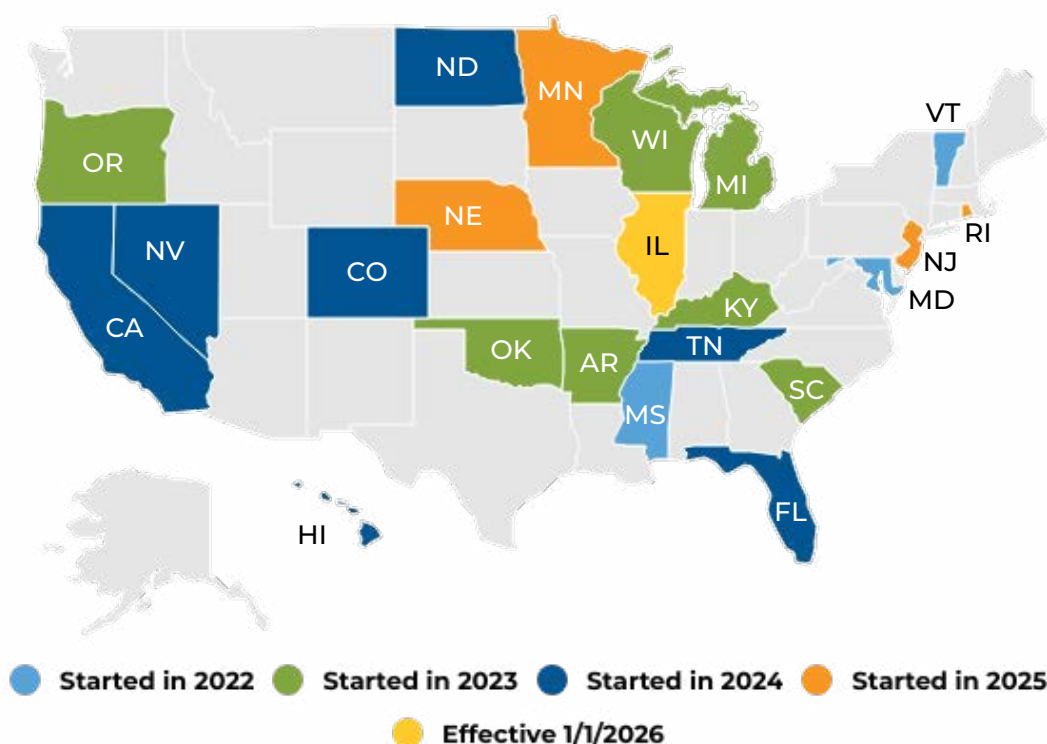
# In this workbook

<b>Introduction .....</b>	<b>3</b>
<b>CE Information .....</b>	<b>4</b>
<b>The Hosts.....</b>	<b>5</b>
<b>Agenda .....</b>	<b>6</b>
<b>Panel of Experts.....</b>	<b>7</b>
Breaking Down Tax Deduction Planning Opportunities Under OBBBA with Ben Henry-Moreland.....	8
529 Plans Vs Trump Accounts: Optimizing Education Savings Options After OBBBA with Jeffrey Levine.....	9
Unlocking the Benefits of Qualified Small Business Stock (QSBS): Requirements, Nuances, and Post-OBBBA Planning Opportunities with Lisa Featherngill.....	10
Optimizing IRAs And Roth Conversions After OBBBA and the SECURE Act with Ed Slott.....	11
Tax Laws Changed: Adjusting Irrevocable Trusts For Basis Step-Up By Decanting, Modifying, or Distributing with Martin M. Shenkman .....	12
From OBBBA And Into 2026: The Most Relevant Tax Planning Ideas To Discuss With Clients with Debra Taylor, Julie A. Welch, and Robert S. Keebler.....	13

# Introduction

Fulfill your entire 6 hours of IAR Ethics CE – while also earning 6 hours of CE for CFP, CIMA, CPWA, American College, CPA, and other advisor designations at this year's Kitces IAR Ethics CE Day. This event will be delivered virtually by some of the most engaging and relevant ethics and regulatory experts in our industry.

In 2022, a series of 7 states implemented the first-ever CE obligation for Investment Adviser Representatives (IARs), and since then additional states continue to be added each year. At its core, the new IAR CE obligation mandates that financial advisors earn 12 hours of continuing education credits each year – 6 hours on Products and Practice and 6 hours on their Ethics and Professional Responsibility. Any IAR who has more than the de minimis number of clients in any of the affected states is subject to the new rule.



# CE Information



Kitces will report CE on your behalf to several organizations including the CFP Board, American College, IWI, and FINRA by November 14. (CE requirements vary for each organization)

## For your CE activity to be reported:

- ✓ You must provide your certification numbers at the point of registration. Our team will email you after the event if we don't have your certification numbers on file so you can provide them after the fact.
- ✓ You must be present for at least 50 minutes of each hour long session.

There are 5 unique CE-approved sessions within the event, four of which are 1 hour long and one that is 2 hours long. You must attend at least 50 minutes of the hour-long sessions, and 75 minutes for the 2-hour session or you will not receive CE credit for that portion of IAR CE Day.

Completion certificates will be emailed to attendees who satisfy the requirements above by November 14.

To confirm your CE has been reported, we advise you to check the dashboard for the organizations where you have a certification after November 14, 2025.

## Additional notes:

- ➡ Kitces *does not* report CE to any state-level organizations.
- ➡ Access to a recording is included in your registration.
- ➡ Watching the recording *does not* earn you CE.

If you have any questions, please contact [members@kitces.com](mailto:members@kitces.com).

# The Hosts

6 hours of compliance content can be a long day, but Michael and Adam are ready to keep the energy up and the program flowing!



**Michael Kitces,**  
**MSFS, MTAX, CFP, CLU, ChFC, RHU, REBC, CASL**  
Founder & Chief Financial Planning Nerd  
[Kitces.com](http://Kitces.com)



**Adam Van Deusen, CFP**  
Financial Planning Nerd  
[Kitces.com](http://Kitces.com)

# Agenda

Each guest will share information around ethics and regulation that is applicable to the situations you encounter every day with clients and in your practice.



## Breaking Down Tax Deduction Planning Opportunities Under OBBBA

**Ben Henry-Moreland**

Senior Financial Planning Nerd, Kitces.com



## 529 Plans Vs Trump Accounts: Optimizing Education Savings Options After OBBBA

**Jeff Levine**

Lead Financial Planning Nerd for Kitces.com and Chief Planning Officer at Focus Partners Wealth



## Unlocking the Benefits of Qualified Small Business Stock (QSBS): Requirements, Nuances, and Post-OBBBA Planning Opportunities

**Lisa Featherngill**

SVP, National Director of Strategic Wealth and Business Advisory, Comerica Wealth Management



## Optimizing IRAs And Roth Conversions After OBBBA and the SECURE Act

**Ed Slott**

President & Founder, Ed Slott and Company, LLC



## Tax Laws Changed: Adjusting Irrevocable Trusts For Basis Step-Up By Decanting, Modifying, or Distributing

**Martin M. Shenkman**

Estate Planning attorney, Shenkman Law

# Panel of Experts

## From OBBBA And Into 2026: The Most Relevant Tax Planning Ideas To Discuss With Clients



**Debra Taylor**

Managing Partner and Chief Tax Strategist  
Carson Wealth



**Julie A. Welch**

Director of Taxation  
Meara Welch Browne, P.C



**Robert S. Keebler**

Partner  
Keebler & Associates, LLP



# Ben Henry-Moreland

Breaking Down Tax Deduction Planning  
Opportunities Under OBBBA

## Session Notes





# Jeffrey Levine

529 Plans Vs Trump Accounts: Optimizing Education Savings Options After OBBBA

## Session Notes



# Lisa Featherngill

Unlocking the Benefits of Qualified Small Business Stock (QSBS): Requirements, Nuances, and Post-OBBA Planning Opportunities

## Session Notes



# Ed Slott

Optimizing IRAs And Roth Conversions After  
OBBBA and the SECURE Act

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# Martin M. Shenkman

Tax Laws Changed: Adjusting Irrevocable Trusts  
For Basis Step-Up By Decanting, Modifying, or  
Distributing

## Session Notes



# Panel Of Experts

From OBBBA And Into 2026: The Most Relevant Tax Planning Ideas To Discuss With Clients *with Debra Taylor, Julie A. Welch, and Robert S. Keebler*

## Session Notes



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